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Sir:

Transmitted herewith for filing is the patent application of:  
Inventor(s): Nick Athens and David M. Goodrich

For: **Soil and Groundwater Decontamination System and Method for Decontamination of  
Soil and Groundwater by Reaction and Extraction.**

Enclosed are:

☒ 22 PAGES OF SPECIFICATION  
☒ 12 PAGES OF CLAIMS  
☒ 1 PAGE(S) OF ABSTRACT  
☒ 1 SHEET OF DRAWINGS (INFORMAL)  
ASSIGNMENT OF THE INVENTION TO: Not assigned  
ASSIGNMENT RECORDATION FORM COVER SHEETS (\$40.00)  
☒ COMBINED DECLARATION AND POWER OF ATTORNEY  
☒ DECLARATION OF INDEPENDENT INVENTOR/SMALL BUSINESS CONCERN

CLAIMS AS FILED						
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Scott A. Horstemeyer  
Registration No. 34,183

**THOMAS, KAYDEN, HORSTEMEYER  
& RISLEY, L.L.P.**  
Suite 1500, 100 Galleria Parkway N.W.  
Atlanta, Georgia 30339-5948  
(770) 933-9500

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**PATENT**

Attorney's Docket No. 50506-1010

Applicant or Patentee: Nick Athens and David M. Goodrich

Serial or Patent No.:

Filed or Issued:

For: **Soil and Groundwater Decontamination System and Method for Decontamination of Soil and Groundwater by Reaction and Extraction.**

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(b))—INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled

**Soil and Groundwater Decontamination System and Method for Decontamination of Soil and Groundwater by Reaction and Extraction.**

described in

- ☒ the specification filed herewith.
- ☐ application serial no. \_\_\_\_\_, filed \_\_\_\_\_.
- ☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_.

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FULL NAME: Nick Athens  
ADDRESS: 1651 Hadaway Court Kennesaw GA 30152-5423  
☒ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME: David M. Goodrich  
ADDRESS: 142 Douglas Fir Drive, Waleska GA 30183  
☒ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

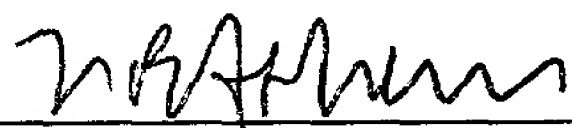
FULL NAME: \_\_\_\_\_  
ADDRESS: \_\_\_\_\_  
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

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Nick Athens

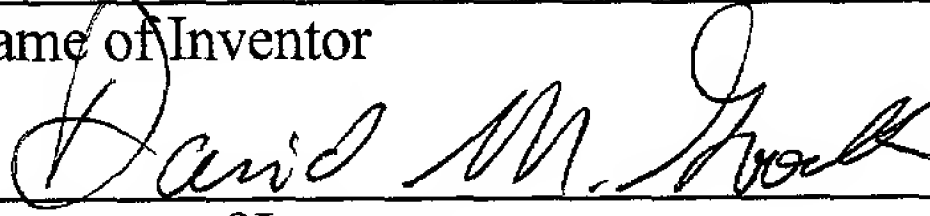
Name of Inventor

  
Signature of Inventor

Date 4/9/99

David M. Goodrich

Name of Inventor

  
Signature of Inventor

Date 4/9/99

## TITLE OF THE INVENTION

### 5      **SOIL AND GROUNDWATER DECONTAMINATION SYSTEM AND METHOD FOR DECONTAMINATION OF SOIL AND GROUNDWATER BY REACTION AND EXTRACTION**

### 10      **BACKGROUND OF THE INVENTION**

The present invention is generally related to an apparatus and process for removing contamination in various phases from contaminated soil and groundwater. More particularly, the present invention is related to a soil and groundwater decontamination system and method for decontamination of soil and groundwater by reaction and

15      extraction.

Various organic contaminants can be found in a contaminated subsurface zone, including the groundwater and soil, such as hydrocarbon compounds including volatile organic compounds (VOCs), semi-volatile organic compounds, and nonvolatile materials, and the like. Sources of surface and subsurface contamination are numerous, for example,

20      leaking underground storage tanks, industrial, and manufacturing operations, chemical storage in process areas, chemical spills, waste disposal areas, etc. Among common contaminants from these sources are petroleum hydrocarbons, such as benzene, toluene, ethylbenzene, xylene, gasoline, diesel fuel, fuel oil, jet fuel, and others. Contaminants can exist in subsurface soil and in groundwater, below the water table, in various phases as

25      discrete substances and mixed with and/or dissolved in groundwater and soil gases. Such contaminants can occur in the vapor phase in the vadose (unsaturated) zone, in the free (separate) phase floating on top of the groundwater (or dense non-aqueous phase liquid

(DNAPL) at the base of an aquifer), dissolved phase in the groundwater, and in the absorbed phase in the unsaturated (vadose) zone and saturated groundwater zone below the water table.

A number of techniques are known for removal of soil contaminants and remediation of effected soil. One such technique involves the excavation and treatment of the soil on- or off- site by means such as incineration, chemical treatment, or biological treatment. However, when soil contaminated with volatile organic compounds is excavated, up to about 90 percent of the contaminants may volatilize to the atmosphere. Also, there exists concerns regarding landfilling of such materials.

Another technique involves saturating the contaminated soil with water in-situ (soil flushing), causing the contaminants to be slowly leached from the soil by the water. The contaminated water can then be removed. Other common methods include groundwater pump and treat and air sparging.

Vacuum extraction techniques have also been proposed for removing VOCs from soil. Known soil vapor vacuum extraction provides an apparatus and process by which volatile vapors may be extracted from the soil through subsurface vacuum application above the resting water table, thereby extracting vapors present in the vadose zone. There are many problems associated with the soil vapor vacuum extraction process. When a single tube extraction well or well casing is disposed to a depth below the water table surface and a vacuum is applied at the unsubmerged end of the well casing, the resting water table surface can become dynamic resulting in the water table surface moving upward in the area adjacent the well casing, essentially forming a peak and resulting in "upwelling." This upwelling can cause floating contaminants just above the water table

surface (in the capillary fringe where up to 80 or 90 percent of the contaminants can reside) to float or gravitate away from the well casing, resulting in a less treatable capillary fringe and the undesired consequence of migration of the floating contamination. Further, the upward movement of the water table surface adjacent the well casing can effectively

5 “seal” the extraction well and prevent the well casing from ingesting the air necessary to maintain the vacuum for extraction.

Dual-phase extraction (also commonly referred to as multi-phase extraction) is yet another technique for removing vapor phase adsorbed phase, liquid phase and dissolved phase VOCs and semi-volatile organic compounds from impacted soils and ground water.

10 Known dual-phase extraction provides an apparatus and process by which volatile vapors and liquids may be extracted from the soil. There are two primary methods known for such dual-phase extraction.

In a first known method of dual-phase vacuum extraction multiple pumps are employed to extract vapor and liquid phases. As such, in addition to the use of the

15 vacuum extraction to extract vapors, a downhole mechanical pump is also introduced into the contaminated soil to extract contaminants present in the difficult to treat saturated zone. While this technique extracts both liquid and vapor phases, it does so in separate streams and through the use of two distinct steps or operations, thereby being less efficient and requiring more resources to be devoted to such a clean-up than where the

20 liquid and vapor phases can be extracted simultaneously and in the same step or operation.

An example of such an apparatus and method can be found in U.S. Patent No. 5,615,974 (Land, *et al.*)



In a second known method of dual-phase extraction a single pump system is employed to extract vapor and liquid phases. As such, the vacuum extraction provides for the simultaneous removal of the liquid phase and gas phase in one common integrated stream through one common conduit. This process will hereafter be referred to

5 “integrated dual-phase extraction.” Such integrated dual-phase extraction can generally be achieved by inserting at least a portion of an extraction well below the resting water table surface of the contaminated subsurface zone.

An example of an integrated dual-phase extraction process and apparatus are disclosed in U.S. Patent No. 5,050,676 (Hess, et al.), the disclosure of which is herein

10 incorporated by reference. Disclosed is integrated dual-phase extraction for removal of contaminants in the soil and groundwater where the contaminants are in the dissolved phase, liquid phase, and the vapor phase simultaneously in one common integrated stream. The apparatus disclosed includes a vacuum generating device connected to one end of a well casing that is disposed in a first borehole. At least one air inlet well is located in a

15 second separate borehole. The well casing is a single tube configuration.

Another example of an integrated dual-phase vacuum extraction process and apparatus is disclosed in U.S. Patent No. 5,172,764 (Hajali, et al.), the disclosure of which is herein incorporated by reference. Disclosed is an apparatus and process for removing contaminants from a contaminated subsurface zone when those contaminants are in the

20 absorbed phase, dissolved phase, liquid phase, and/or the vapor phase. The disclosed vacuum system includes a dual pipe configuration having a perforated well casing inside of which is situated a drop tube. The inner drop tube has an opening and the well casing has an air inlet at or near the ground surface. The air inlet permits the introduction of air at

any desired pressure, including reduced pressures, atmospheric pressure, or increased or forced pressures into the well casing. The air inlet may also be used to introduce other chemicals or materials into the well casing. Substances introduced by the air inlet into the well casing pass downwardly through the well casing. A vacuum pump is connected to an  
5 end of the extraction pipe that remains above ground level, thereby drawing contaminants from the saturated and/or unsaturated (vadose) soil surrounding the perforated region of the well casing through the perforations and into the vacuum extraction pipe, then upwardly with the liquid and vapor mixture through the drop tube. Substances introduced by the air inlet into the well casing pass upwardly with the contaminants through the drop  
10 tube. The drop tube draws contaminants from the soil and groundwater in the vapor, dissolved, and liquid phases concurrently in a single step and operation.

The use of a physical reaction, a chemical reaction or a combination thereof for treatment of waste water and petroleum contaminated groundwater is generally known to those skilled in the art. Specifically, the use of chemical oxidation for treatment of  
15 wastewater and petroleum contaminated groundwater has been studied extensively and implemented in the wastewater and environmental industries. This oxidation process has been carried out using numerous oxidizing agents, for example, ozone, hydrogen peroxide ( $H_2O_2$ ), ozone in conjunction with ultraviolet light, etc.

Fenton's reaction was initially identified approximately 100 years ago and is a  
20 process that has been utilized in the wastewater treatment industry for a number of years. The reaction, when carried to completion, simply involves the use of hydrogen peroxide ( $H_2O_2$  - 10-50% concentration) in the presence of a catalyst (*e.g.*, a metallic salt such as iron sulfate ( $FeSO_4$ ), which in many instances is naturally occurring in the soil) to form



hydroxyl radicals (OH•) that have the potential to ultimately reduce hydrocarbons to carbon dioxide and water. The process is simplified below:



This equation greatly simplifies the intermediate steps of this chemical reaction. It is also noted that there are several different places on organic compounds which the hydroxyl radicals can attach, hence, many different (intermediate) products can be formed during the course of this reaction. Oxidation reactions during Fenton's reaction are complex and it is difficult to predict which intermediate products will be formed. This reaction is most "efficient" at low pH ranges ( pH of ~3), which is generally not practical to create in the subsurface. The low pH requirement of optimum Fenton's reaction appears to be related to the need for soluble iron in the system and the reducing conditions to regenerate iron (II). Richard J. Watts, *Hydrogen Peroxide for Physiochemically Degrading Petroleum-Contaminated Soils*, Remediation, Autumn 1992.

Hydrogen peroxide in this process is utilized in a different fashion when compared to its typical use in the environmental restoration industry (*i.e.* as an oxygen source to enhance aerobic biodegradation). This same benefit exists in the Fenton reaction process, however, the primary use of hydrogen peroxide is to generate the hydroxyl radicals, a very powerful (second only to fluorine gas in oxidation potential) and effective nonspecific oxidizing agent which vigorously reduces hydrocarbon chains and rings to carbon dioxide and water when carried to completion.

Additionally, in-situ treatment of a contaminated subsurface zone via physical reaction caused by surfactants and co-solvents is generally known in the art. Although, the use of surfactants and co-solvents for the enhanced recovery of crude oil has been in

use for many years, the utilization of surfactants and co-solvents for the enhanced recovery of organic contaminants is a relatively new application. A surfactant (surface acting agent), is a chemical compound that is both hydrophobic (“water fearing”) and hydrophilic (“water loving”) and has the potential of altering the properties of fluid interfaces. The hydrophobic portion of the surfactant is typically a long hydrocarbon chain, while the hydrophilic portion of the surfactant often includes anion or cations. Surfactants are typically highly soluble in water due to the hydrophilic portion of the surfactant. When a surfactant is introduced in subsurface environments where organic contamination resides, the hydrophobic portion of the surfactant may be attracted to the organic contaminant, while the hydrophilic portion of the surfactant will be oriented toward the water phase, resulting in the accumulation of surfactant monomers at the organic contaminant/water interface or the water/air interface. The introduction of surfactants into the subsurface can achieve two different results-enhanced mobilization of the organic contaminant, and enhanced solubilization (into water) of the organic contaminant, as described below.

Co-solvents are chemical agents, typically alcohols, that can be either utilized to enhance the performance of surfactants, or by themselves to enhance organic contaminant aqueous mobilization or dissolution, similar to that of the surfactant.

Enhanced mobilization of an organic contaminant results where the surfactant reduces the organic contaminant/water interfacial tension. This reduction in interfacial tension diminishes the influence of capillary forces, which are responsible for the retention of the organic contaminant in its residual (adsorbed) and liquid (separate) phases. Physical

mobilization of the organic contaminant occurs when sufficient lowering of the interfacial tension between the organic contaminant and water is present.

With respect to enhanced solubilization of the organic contaminant into water, the aqueous solubility of the organic contaminant is increased, thereby “dissolving” the residual (adsorbed) phase and/or liquid (separate) phase organic contaminant into water.

Prior uses of surfactants and co-solvents for subsurface cleanup of organic contaminants have typically involved the injection of these fluids into the subsurface only, or in conjunction with a groundwater withdrawal system using a downhole mechanical pump (i.e. “pump and treat” technology).

However, treating contaminated soil and/or groundwater purely by a reaction process does not address the issue of the need to capture the off-gases and fugitive vapors which result from the reaction (particularly, the Fenton's reaction) or from the undesired displacement of fugitive vapors from the injection (positive pressure) process. Such off-gases and vapors may find pathways of escape, for example, in existing sewer lines, which can result in an unsafe build up of pressure and/or hazardous/explosive vapors underground, creating a safety hazard. Additionally, pure reaction treatment can suffer from insufficient distribution of the chemical product (i.e. the oxidizing agent, surfactant and/or co-solvent) throughout the contaminated subsurface zone.

Further, a single tube configuration for dual-phase extraction can be limited in its use depending on depth of application and porosity of the contaminated subsurface zone in which the extraction is to be used.

Thus, a heretofore unaddressed need exists in the industry for an improved soil remediation system and/or process to address at least the aforementioned deficiencies and inadequacies.

## **BRIEF SUMMARY OF THE INVENTION**

5 The present invention generally provides a decontamination system and a method for efficiently removing vapor, adsorbed, dissolved and liquid phase contaminants in-situ from a contaminated subsurface zone, including the soil and groundwater in the contaminated zone. The system and method utilize a combination of physical and/or  
 10 chemical reaction of contaminants in a contaminated subsurface zone (including both contaminated soil and contaminated groundwater) and integrated dual-phase extraction of multiple phases of organic contaminants, reaction end products resulting from reaction, and any remaining contaminants. A combination of the reaction and extraction is beneficial because the extraction process aids in the effectiveness of the reaction by  
 15 inducing circulation of the product throughout the contaminated subsurface zone.

Briefly described, in architecture, the soil and groundwater decontamination system essentially comprises a product (i.e. an oxidizing agent such as  $H_2O_2$ , a surfactant, and/or a co-solvent) that is introduced into the contaminated subsurface zone. The product is preferably introduced by an injection well. The injection well is of a  
 20 predetermined length and is submerged into a bored passage in the contaminated zone. An (optional) injection pump can be used to inject the product into the contaminated zone via the injection well, thereby enhancing the distribution of the product in the subsurface allowing the product to react (chemically and/or physically) with contamination in the soil

and groundwater. Optionally, a catalyst can be introduced into the contaminated zone to facilitate the reaction between the product (e.g. when the oxidizing agent  $H_2O_2$  is utilized) and the contaminants. The decontamination system further includes integrated dual-phase extraction capability. The integrated dual-phase extraction capability essentially comprises

5 a drop tube disposed inside a well casing. The drop tube is in dual-phase (i.e. gas and liquid) fluid communication with a vacuum pump. The well casing, with the drop tube to be disposed therein, is introduced into the contaminated subsurface zone. The well casing and drop tube are configured to allow the passage of vapor and liquid therethrough.

In operation, the transport of the product (and accordingly the chemical or

10 physical reaction) is enhanced by the integrated dual-phase extraction process. The reaction end products resulting from the reaction, as well as the remaining contaminants in the soil and/or groundwater, are drawn first into the well casing. Once the elements have entered the well casing, they are drawn into a distal opening and/or into one or more lateral wall apertures disposed in the drop tube, thereby simultaneously extracting the

15 reaction end products and remaining contaminants from the soil and groundwater. The extraction is an integrated dual-phase of both gases and liquids in one common stream.

The present invention can also be viewed as providing a method for decontamination of a contaminated subsurface zone via a physical and/or chemical reaction combined with integrated dual-phase extraction. In this regard, the method can

20 be broadly summarized by the following steps. A product is introduced into the contaminated subsurface zone to be treated. The product is preferably pumped or gravity fed into an injection well, the injection well allows introduction of the product into the contaminated zone. The product, in the presence of a catalyst, when needed (injected

and/or indigenous), reacts with the contaminants in the contaminated subsurface zone and produces one or more reaction end products. A well casing is disposed into the contaminated subsurface zone and a drop tube is disposed inside the well casing.

Optionally, air may be supplied at the surface to the well casing, thereby occupying the  
 5 (annular) space surrounding the drop tube and a vacuum is produced in the drop tube. The reaction end products and remaining contaminants pass into the well casing and into a distal opening and/or lateral wall apertures in the drop tube and are extracted from the contaminated zone through the drop tube. Transport of the product throughout the contaminated zone is also greatly enhanced by the integrated dual-phase extraction  
 10 process. For the integrated dual-phase extraction, a vapor-liquid separation after extraction, may optionally be provided. Individual-phase treatment at the surface may then optionally be provided, as needed.

In accordance with another aspect of the present invention, a catalyst may be introduced into the subsurface zone, for example, when there is not a sufficient amount of  
 15 catalyst naturally occurring in the soil and/or groundwater.

The present invention has numerous advantages, a few of which are delineated hereafter as merely examples.

An advantage of the present invention is that it is efficient at removing all phases (i.e. adsorbed, vapor, dissolved, and liquid phases) of organic contaminants in-situ  
 20 simultaneously from both the vadose zone and saturated zone in a common stream.

Another advantage of the present invention is that it provides for a soil and groundwater decontamination process that utilizes both a chemical and/or physical reaction and integrated dual-phase extraction, such that the extraction enhances the



effectiveness of the reaction process by facilitating transport and circulation of the product (and, accordingly, the physical or chemical reaction involving the organic contaminants).

In other words, there is a synergistic effect between the reaction and integrated dual-phase extraction processes.

- 5           Another advantage of the present invention is that it provides an ability to remove fugitive vapors and offgases that may result from the reaction within the contaminated subsurface zone that might otherwise spread or accumulate and result in an explosive or hazardous condition.

- 10           Another advantage of the present invention is that extraction can occur at multiple levels, and at increased depths, (a) in the vadose zone of the soil; (b) under the actual "resting" or dynamic water table; or (c) in both the vadose zone and saturated zones.

Another advantage of the present invention is that the unused product, the end reaction products and the contaminants existing in various phases can be removed from a contaminated subsurface zone in one process.

- 15           Other features and advantages of the present invention will become apparent to one skilled in the art upon examination of the following drawings and detailed description. It is intended that all such additional features and advantages be included herein as if taught by the present invention.

20           **BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWINGS**

The invention can be better understood with reference to the following drawings. The components in the drawings are not necessarily to scale, emphasis instead being placed upon clearly illustrating the principles of the present invention. Moreover, in the

drawings, like reference numerals designate corresponding parts throughout the several views.

Fig. 1 is a diagram of the soil and groundwater decontamination system in accordance with the present invention, having an injection well apparatus and an  
 5 extraction well (i.e. well casing with a drop tube implaced in it) apparatus.

Fig. 2 is a side cutaway view of the extraction well apparatus of Fig. 1 showing a well casing and a drop tube situated therein.

### DETAILED DESCRIPTION OF THE INVENTION

10 Fig. 1 illustrates a soil and groundwater decontamination system 10, which includes an injection well apparatus 11 and an extraction well apparatus 13. The injection well apparatus 11 and the extraction well apparatus 13 are preferably operated together to efficiently remove contaminants from the vadose zone of the soil, the saturated zone of the soil, or both.

15 The injection well apparatus 11 is designed to inject a product 12. In a preferred embodiment, the injection well apparatus 11 includes the product 12, a fluid pump 14, and an injection well 18. The product 12 is in fluid communication with the injection well 18 via the fluid pump 14. In a preferred embodiment, the injection well 18 is an elongated cylindrical pipe made from any suitable material, for example but not limited to, polyvinyl  
 20 chloride (PVC), galvanized steel, carbon steel, or stainless steel. It is preferred that the injection well 18 include one or more apertures for example but not limited to, openings created by a lateral wall "screen" 26, apertures drilled or cut into the lateral wall of the injection well 26. It is preferable that the screen 26 be disposed fully below the resting

(not dynamic) water table surface 110. However, the injection well 18 may be disposed within the contaminated subsurface zone 100 such that the screen 26 is located either fully above the resting water table surface 110, fully below the resting water table surface 110, or partially below and partially above the resting water table surface 110. It is preferred that (but not limited to) the product injected is an oxidant, a surfactant, a co-solvent, or a combination thereof.

In a first preferred embodiment, the product 12 introduced is an oxidizing agent, such as  $H_2O_2$ . The oxidizing agent is pumped, by a fluid pump 14, or gravity fed into the contaminated subsurface zone 100 through the injection well apparatus 11. For proper reaction between the oxidizing agent  $H_2O_2$  and contaminants to occur, a sufficient amount of a catalyst must be present in the contaminated subsurface zone 100. A sufficient amount of catalyst may be naturally present in the contaminated subsurface zone. However, if needed, a catalyst 24 may be introduced into the contaminated subsurface zone 100, preferably through the injection well apparatus 11. Similar to the oxidizing agent, the catalyst 24 is preferably introduced into the contaminated subsurface zone 100 through the screen 26 of the injection well 18. The catalyst 24 may be injected as a separate step before or after the oxidizing agent has been introduced into the injection well 18, thereby resulting in mixture of the catalyst 24 and the oxidant upon introduction into the contaminated subsurface zone 100 by the injection well 18. Alternatively, the catalyst 24 may be simultaneously injected with the oxidizing agent.

In a second preferred embodiment, the product 12 introduced is a surfactant. The surfactant is pumped, by a fluid pump 14, or gravity fed into the contaminated subsurface zone 100 through the injection well apparatus 11. Optionally, if needed, a co-solvent may

also be introduced into the contaminated subsurface zone 100 with the surfactant, as a combined or separate step. Similar to the first preferred embodiment, it is preferable that the surfactant is introduced into the contaminated subsurface zone 100 through the screen 26 of the injection well 18. If desired, the co-solvent may be combined with the surfactant before, or after, the surfactant and co-solvent have been introduced into the injection well, thereby resulting in mixture of the surfactant and the co-solvent upon introduction into the contaminated subsurface zone 100 by the injection well 18.

In a third preferred embodiment the product 12 introduced is a co-solvent. The co-solvent is pumped, by the fluid pump 14, or gravity fed into the contaminated subsurface zone 100 through the injection well apparatus 11. Similar to the other embodiments it is preferable that the co-solvent is introduced into the contaminated subsurface zone 100 through the screen 26 of the injection well 18.

In a fourth preferred embodiment, the product 12 introduced can be any combination of oxidizing agent, catalyst, surfactant, and/or co-solvent. The combination product 12 is pumped, by the fluid pump 16, or gravity fed into the contaminated subsurface zone 100 through the injection well apparatus 11. Similar to the other embodiments disclosed above, it is preferable that the product 12 is introduced into the contaminated subsurface zone 100 through the screen 26 of the injection well 18.

Referring now to the integrated dual-phase extraction well apparatus 13 of Figs. 1 and 2, the extraction well apparatus 13 includes a vacuum pump 15, an optional but preferred air supply 16, for example, an air inlet or pressurized air source, a well casing 20, and a drop tube 22. The drop tube 22 is preferably an elongated cylindrical pipe disposed internal to and extending longitudinally along the well casing 20. The drop tube

22 can be made from any suitable material, for example but not limited to, polyvinyl chloride (PVC), galvanized steel, carbon steel, or stainless steel. The drop tube 22 preferably includes a distal opening 29 at a distal end and, optionally, one or more lateral wall apertures 28 (perforations) disposed along the length of the drop tube 22, and is in fluid communication with the vacuum pump 15. The well casing 20 is preferably an elongated cylindrical pipe made of any suitable material, such as polyvinyl chloride (PVC), galvanized steel, stainless steel or carbon steel, and is in fluid communication with the air supply 16 which allows air, optionally and variably, to enter the well casing 20 as desired. Preferably, the well casing 20 preferably includes perforations, such as those created by circumferential screening 30, towards the distal end 23 of the well casing 20 and is further preferably closed at the distal end 23.

The drop tube 22, disposed inside the well casing 20, may be submerged in the contaminated subsurface zone 100 such that the lateral wall apertures 28 are disposed either fully below the resting water table surface 110, fully above the resting water table surface 110, or partially below and partially above the resting water table surface 110. The well casing 20 may be disposed in the contaminated subsurface zone 100 such that the perforation 30 is located fully below the resting water table surface 110, fully above the resting water table surface 110, or partially below and partially above the resting water table surface 110.

Optionally, the extraction well apparatus 13 may also include a contaminant filter 32 and/or a contaminant treatment means 32. In this embodiment, the contaminant filter 32 is in fluid communication with the vacuum pump 15. The contaminant filter 32 is

preferably used to treat all extracted phases from the contaminated subsurface zone 100 to produce an environmentally suitable product.

In a preferred method for reaction and integrated dual-phase extraction from contaminated soil, groundwater, or both, at least two passages are provided into the contaminated subsurface zone 100. Preferably an injection well 18 and a well casing 20 are disposed in separate bore holes in the contaminated subsurface zone 100. Generally, the injection well 18 introduces a product 12 into the contaminated subsurface zone 100. More specifically, the product is preferably (but not limited to) introduced into the saturated zone below the resting water table surface 110. The product 12 can be pumped into the injection well 18 by the fluid pump 14 or introduced by gravity feeding. Introduction of a product 12 or a combination of products into the contaminated subsurface zone 100 results in a desirable chemical and/or physical reaction for in-situ treatment of the contaminated subsurface zone. The product(s) reacts with the contaminants that exist within the subsurface zone 100.

In the first preferred embodiment, the oxidizing agent is introduced into the contaminated subsurface zone 100, with a catalyst, if needed. The reaction, when involving  $H_2O_2$  as the oxidizing agent is catalyzed by the catalyst, either naturally occurring in the soil or introduced into the injection well 18, ultimately resulting in water and carbon dioxide (reaction end products) when the reaction is carried to completion. The reaction end products may also include various other by-products.

In the first preferred embodiment, it is desirable to introduce the oxidizing agent and the catalyst 24 to the injection well 18 separately, allowing mixture to occur within the contaminated subsurface zone 100. The catalyst 24 can be introduced before or after the



oxidizing agent is introduced, or simultaneously with the oxidizing agent. All of the various combinations of introducing the catalyst 24 and the oxidizing agent  $H_2O_2$ , and the mixture thereof described above, result in an exothermic reaction. Since the oxidizing agent will naturally inject into soil where there exists the least resistance, it is preferable

5 that the injection well 18 is disposed into the contaminated soil/groundwater 100 to a depth such that the screen 26 is disposed completely below the resting water table surface 110. This positioning forces the introduction of the oxidizing agent below the water table surface 110, rather than allowing oxidizing agent to be introduced into the contaminated soil in the non-saturated or vadose zone, above the water table surface 110. It should be

10 noted that the injection well 18 may be disposed into the contaminated subsurface zone 100 to any depth. The end reaction products from the oxidation reaction, the contaminants that remain in the contaminated zone, and fugitive vapors and offgases are then removed via the integrated dual-phase extraction process. It should, however, be noted that the dual-phase extraction process, discussed below, also facilitates transport of

15 the oxidizing agent and catalyst (and, hence, the desired reaction) throughout the subsurface.

In the second preferred embodiment, the surfactant is introduced into the contaminated subsurface zone 100. As in the first preferred embodiment, it is preferable that the injection well 18 is disposed into the contaminated subsurface zone 100 to a depth

20 such that the screen 26 is disposed completely below the resting water table surface 110. In this configuration the introduction of the surfactant is forced into the saturated phase of the soil, below the water table surface 110, rather than allowing the surfactant to be introduced into the contaminated soil in the non-saturated or vadose zone, above the

water table surface 110. The end reaction products resulting from the physical reaction between the surfactant and the contaminants that remain in the contaminated zone are then removed via the integrated dual-phase extraction process. It should, however, be noted that the integrated dual-phase extraction process, discussed below, also facilitates

5 transport and circulation of the surfactant (and, hence, the desired reaction) throughout the contaminated subsurface zone 100.

In a third preferred embodiment, the co-solvent is introduced into the contaminated subsurface zone 100. It is desirable that the co-solvent is introduced into the contaminated subsurface zone 100 through the injection well 18. Preferably, the

10 injection well 18 is disposed into the contaminated subsurface zone 100 to a depth such that the screen 26 is disposed completely below the resting water table surface 110. This configuration forces the introduction of the co-solvent into the saturated phase of the soil, below the water table surface 110, rather than allowing the co-solvent to be introduced into the contaminated soil in the non-saturated or vadose zone, above the water table

15 surface 110. The end products from the reaction between the co-solvent, the contaminants that remain in the contaminated zone, and the fugitive vapors are then removed via the integrated dual-phase extraction process. It should, however, be noted that the integrated dual-phase extraction process, discussed below, also facilitates transport and circulation of the co-solvent (and, hence, the desired reaction) throughout

20 the subsurface. The surfactant may also be introduced into the contaminated subsurface zone 100 in combination with a co-solvent.

An integrated dual-phase extraction process can be started before, during, or after the introduction of the product (and catalyst 24, as needed). The optional air supply 16

supplies air to the well casing 20. The vacuum pump 15 produces a vacuum in the drop tube 22 so that the drop tube 22 extracts gases and/or liquids through its distal opening 29 and/or its lateral wall apertures 28. The air flow 52 (from the surface air supply) or 152 (from below the ground surface) into the well casing 20 and the vacuum 58 in the drop tube 22 work together to create an extraction process that draws soil and groundwater contaminants and reaction end products through the extraction well pipe perforations 30 of the well casing 20 and into the plurality of lateral wall apertures 28 and/or open end 29 of the drop tube 22.

At any time during the integrated dual-phase extraction process, the optional air supply 16 may be controlled to alter the supply of air to the well casing 20, by either an increase or decrease. The air supply 16 to the well casing 20 assists extraction to occur at greater soil depths than would be possible with application of a vacuum alone. This extraction method allows for more effective extraction of integrated dual-phase flow from soil of various permeability and porosity. The preferred level of submersion of the drop tube 22 and the well casing 20, provides for extraction of contaminants and vapors that are present in both the unsaturated vadose zone, above the water table surface 110, and/or contaminants in the saturated zone, below the water table surface 110. After extraction begins, the water table surface 110 becomes dynamic, as indicated by the broken line 120. This phenomena provides a "sink" by gravity flow and flow by negative pressure for free floating and/or dissolved phase contaminants present in the saturated level to find their lowest resting place at or near the distal open end 29 of the drop tube 22, thereby concentrating the elements to be extracted near the entrance of the well casing 20 and drop tube 22. It is also preferable that the extracted elements be treated to produce an

environmentally desirable product by a contaminant filter 32 in a treatment step. Prior to the treatment step, the vapor and liquid phases may be separated by a vapor/liquid separator. Such a separation process is disclosed in U.S. Patent No. 5,050,676 (Hess, et al.), the disclosure of which is herein incorporated by reference.

5           Generally, the system 10 implements a combination of physical and/or chemical reaction of contaminants in a contaminated subsurface zone (including both contaminated soil and contaminated groundwater) and integrated dual-phase extraction of multiple phases of organic contaminants, reaction end products resulting from reaction, and any remaining contaminants in one common stream. A combination of the reaction and the  
10       extraction is beneficial because the extraction process aids in the effectiveness of the reaction by inducing circulation of the product 12 throughout the contaminated subsurface zone 100.

          Although a preferred depth of submersion for the injection well 18, and for the well apparatus 11 is indicated herein, it should be noted that the product 12 may be  
15       introduced into the contaminated subsurface zone 100 at any level, either above the water table surface 110, partially above and partially below the water table surface 110, or as preferred, completely below the water table surface 110. Similarly, it should be noted that although the preferred disposed level for the extraction well 20 and for the drop tube 22, of the extraction well apparatus 13, is indicated herein, it should be understood that both  
20       the extraction well 20 and drop tube 22 may be disposed at any level below the water table surface 110, or partially below and partially above the resting water table surface 110, or fully above the water table surface 110. Such variability in the depth to which the injection well 18 is disposed into the contaminated subsurface zone 100 allows for

flexibility in the depth of introduction of the product 12 (and catalyst 24, as needed) into the contaminated subsurface zone 100 either in the unsaturated vadose zone, or in the saturated groundwater, or anywhere therebetween. Similarly, flexibility in the depth to which the extraction well 20 and the drop tube 22 is disposed allows for extraction of

5 contaminants and vapors from the unsaturated vadose zone, the saturated groundwater zone, below the water table surface 110, or any combination thereof.

The foregoing description has been presented for purposes of illustration and description. It is not intended to be exhaustive or to limit the invention to the precise forms enclosed. Obvious modifications or variations are possible in light of the above

10 teachings. Any and all such modifications and variations are intended to be included within the scope of this disclosure and are intended to be perceived as taught by the present invention.

## CLAIMS

Therefore, having thus described the invention, at least the following is claimed:

1. A soil and groundwater decontamination system for decontaminating a contaminated subsurface zone, the contaminated subsurface zone having a groundwater level below which is a groundwater (saturated) zone and above which is a vadose zone, the vadose zone and the groundwater level having a capillary fringe therebetween, comprising:

a product having the ability to react with subsurface contaminants and create a reaction end product;

an injection well, said injection well having a length, said injection well being disposed in the contaminated subsurface zone, said injection well configured to introduce said product into the contaminated subsurface zone;

a well casing having a proximal end, a distal end, a length therebetween, and a lateral wall, said distal end of said well casing being disposed in said contaminated subsurface zone;

a drop tube, said drop tube having a proximal end, a distal end, a length therebetween, and a lateral wall, said drop tube being disposed inside and extending along said well casing, said drop tube configured to extract reaction end products from the contaminated subsurface zone and contaminants from the contaminated subsurface zone; and



a vacuum pump, said vacuum pump being in fluid communication with said proximal end of said drop tube.

2. The soil and groundwater decontamination system of claim 1, further comprising:

a catalyst, wherein said product and said catalyst are combined as said product and said catalyst are injected into the contaminated subsurface zone.

3. The soil and groundwater decontamination system of claim 1, further comprising:

a catalyst, wherein said product and said catalyst are combined before said product and said catalyst are injected into the contaminated subsurface zone.

4. The soil and groundwater decontamination system of claim 1, further comprising:

a catalyst, wherein said product and said catalyst are combined after said product and said catalyst are injected into the contaminated subsurface zone.

5. The soil and groundwater decontamination system of claim 1, further comprising:

a phase separator for separation of liquid and gas extracted in multiple phases, such as the gas phase and the liquid phase.

6. The soil and groundwater decontamination system of claim 1, further comprising:

a contaminant filter, said contaminant filter being in fluid communication with said drop tube, wherein said contaminant filter configured to treat extracted groundwater and vapor products to produce an environmentally suitable product.

7. The soil and groundwater decontamination system of claim 1, further comprising:

a fluid pump, said fluid pump providing said product to said injection well.

8. The soil and groundwater decontamination system of claim 1, further comprising:

a supply valve, said supply valve being variable and in fluid communication with said well casing.

9. The soil and groundwater decontamination system of claim 1, wherein said lateral wall of said injection well includes a screen.

10. The soil and groundwater decontamination system of claim 1, wherein said lateral wall of said injection well includes perforations.

11. The soil and decontamination system of claim 1, wherein said well casing is closed at said distal end.

12. The soil and decontamination system of claim 1, wherein said drop tube is open at said distal end.
13. The soil and decontamination system of claim 1, wherein said lateral wall of said drop tube includes at least one opening.
14. The soil and decontamination system of claim 1, wherein said distal end of said injection well is disposed in a vadose zone of the contaminated subsurface zone.
15. The soil and decontamination system of claim 1, wherein said distal end of said injection well is disposed at a groundwater level of the contaminated subsurface zone.
16. The soil and decontamination system of claim 1, wherein said distal end of said injection well is disposed below a groundwater level of the contaminated subsurface zone.
17. The soil and decontamination system of claim 1, wherein said distal end of said well casing is disposed in a vadose zone of the contaminated subsurface zone.
18. The soil and decontamination system of claim 1, wherein said distal end of said well casing is disposed at a groundwater level of the contaminated subsurface zone.

19. The soil and decontamination system of claim 1, wherein said distal end of said well casing is disposed below a groundwater level of the contaminated subsurface zone.

20. The soil and decontamination system of claim 1, wherein said distal end of said drop tube is disposed in a vadose zone of the contaminated subsurface zone.

21. The soil and decontamination system of claim 1, wherein said distal end of said drop tube is disposed at a groundwater level of the contaminated subsurface zone.

22. The soil and decontamination system of claim 1, wherein said distal end of said drop tube is disposed below a groundwater level of the contaminated subsurface zone.

23. The soil and groundwater decontamination system of claim 1, wherein said product is further defined as an oxidizing agent.

24. The soil and groundwater decontamination system of claim 1, wherein said product is further defined as a surfactant.

25. The soil and groundwater decontamination system of claim 1, wherein said product is further defined as a co-solvent.

26. A soil and groundwater decontamination system for decontaminating a contaminated subsurface zone, the contaminated subsurface zone having a groundwater level below which is a groundwater (saturated) zone and above which is a vadose zone, the vadose zone and the groundwater level having a capillary fringe therebetween, comprising:

a product having the ability to react with subsurface contaminants and create a reaction end product;

an injection well, said injection well having a length, said injection well being disposed in the contaminated subsurface zone, said injection well configured to introduce said product into the contaminated subsurface zone;

a well casing having a proximal end, a distal end, a length therebetween, and a lateral wall, said distal end of said well casing being disposed in said contaminated subsurface zone;

a drop tube, said drop tube having a proximal end, a distal end, a length therebetween, and a lateral wall, said drop tube being disposed inside and extending along said well casing, said drop tube configured to extract reaction end products from the contaminated subsurface zone and contaminants from the contaminated subsurface zone; and

a vacuum pump, said vacuum pump being in fluid communication with said proximal end of said drop tube.

27. A soil and groundwater decontamination system for decontaminating a contaminated subsurface zone, the contaminated subsurface zone having a groundwater level below which is a groundwater zone and above which is a vadose zone, the vadose zone and the groundwater level having a capillary fringe therebetween, comprising:

a product supply means;

a means for introducing said product into a contaminated subsurface zone, said product being able to react with the subsurface contaminants and produce a reaction end product;

a vacuum means;

an extraction means, said extraction means being in fluid communication with said vacuum means, said extraction means extracting the reaction end products from the contaminated subsurface zone and contaminants from the contaminated subsurface zone.

28. A soil and groundwater decontamination method for decontaminating a contaminated subsurface zone, the contaminated subsurface zone having a groundwater level below which is a groundwater zone and above which is a vadose zone, the vadose zone and the groundwater level having a capillary fringe therebetween, comprising the steps of:

introducing a product into a contaminated subsurface zone, said product having the ability to react with subsurface contaminants;

allowing said product to react with said contaminants, thereby producing a reaction end product;



disposing a well casing having a proximal end and a distal end into the contaminated subsurface zone;

disposing a drop tube inside said well casing, said drop tube having a proximal end and a distal end corresponding to said proximal end and said distal end of said well casing, respectively;

producing a vacuum in said drop tube; and

extracting the reaction end product from the contaminated subsurface zone and contaminants from the contaminated subsurface zone.

29. The soil and groundwater decontamination method of claim 28, further comprising the step of:

introducing a catalyst into a contaminated subsurface zone, said catalyst facilitating a reaction between the product and contaminants in the contaminated subsurface zone.

30. The soil and groundwater decontamination method of claim 29, wherein said steps of introducing a catalyst and introducing a product are done simultaneously.

31. The soil and groundwater decontamination method of claim 29, wherein said step of introducing a catalyst is done before the step of introducing a product.

32. The soil and groundwater decontamination method of claim 29, wherein said step of introducing a catalyst is done after the step of introducing a product.

33. The soil and groundwater decontamination system of claim 28, further comprising the step of:

separating liquid and gas being extracted in multiple phases, such as the gas phase and the liquid phase.

34. The soil and groundwater decontamination system of claim 28, further comprising the step of:

providing a filter, said filter being in fluid communication with said drop tube, wherein said filter treats extracted groundwater and vapor products to produce an environmentally suitable product.

35. The soil and groundwater decontamination system of claim 28, further comprising the step of:

supplying fluid to said well casing said step of supplying fluid being variable.

36. The soil and groundwater decontamination method of claim 28, wherein said product is introduced into a vadose zone of the contaminated subsurface zone through at least one conduit extending into the vadose zone.

37. The soil and groundwater decontamination method of claim 28, wherein said product is introduced at a groundwater level of the contaminated subsurface zone through at least one conduit extending to the groundwater level.

38. The soil and groundwater decontamination method of claim 28, wherein said product is introduced below a groundwater level of the contaminated subsurface zone through at least one conduit extending below the groundwater level.

39. The soil and groundwater decontamination method of claim 28, wherein said distal end of said well casing is disposed in a vadose zone of the contaminated subsurface zone.

40. The soil and groundwater decontamination method of claim 28, wherein said distal end of said well casing is disposed at a groundwater level of the contaminated subsurface zone.

41. The soil and groundwater decontamination method of claim 28, wherein said distal end of said well casing is disposed below a groundwater level of the contaminated subsurface zone.

42. The soil and groundwater decontamination method of claim 28, wherein said distal end of said drop tube is disposed in a vadose zone of the contaminated subsurface zone.

43. The soil and groundwater decontamination method of claim 28, wherein said distal end of said drop tube is disposed at a groundwater level of the contaminated subsurface zone.

44. The soil and groundwater decontamination method of claim 28, wherein said distal end of said drop tube is disposed below a groundwater level of the contaminated subsurface zone.

45. The soil and groundwater decontamination method of claim 28, wherein said extraction step extracts vapor.

46. The soil and groundwater decontamination method of claim 28, wherein said extraction step extracts liquid and vapor.

47. The soil and groundwater decontamination method of claim 28, wherein said extraction step extracts liquid.

48. The soil and groundwater decontamination method of claim 28, wherein said step of allowing said product to react and said step of producing a vacuum are done simultaneously.

49. The soil and groundwater decontamination method of claim 28, wherein said step of allowing said product to react is done before said step of producing a vacuum.

50. The soil and groundwater decontamination method of claim 28, wherein said step of allowing said product to react is done after said step of producing a vacuum.

51. The soil and groundwater decontamination method of claim 28, wherein said step of introducing a product is further defined as introducing an oxidizing agent.

52. The soil and groundwater decontamination method of claim 28, wherein said step of introducing a product is further defined as introducing a surfactant.

53. The soil and groundwater decontamination method of claim 28, wherein said step of introducing a product is further defined as introducing a co-solvent.

**ABSTRACT OF THE DISCLOSURE**

A soil and groundwater decontamination system (10) and associated process are provided for soil and groundwater remediation. The system (10) and process utilizes both a physical or chemical reaction with the contaminants in a contaminated subsurface zone and extraction of the reaction end products and any remaining contaminants from the contaminated subsurface zone (100). In architecture, the soil and groundwater decontamination system (10) comprises an chemical product (12) that is introduced into an injection well (18). The injection well (18) is disposed into a passage provided in or adjacent to the contaminated subsurface zone (100) and allows the introduction of the chemical product (12) (and catalyst (24) if necessary) into the contaminated subsurface zone (100). The chemical product (12) reacts (physically or chemically) with contamination in the soil and groundwater and creates a reaction end product. The soil and groundwater decontamination system (10) further includes a vacuum pump (15) in fluid connection with a drop tube (22), and an optional air supply (16) in fluid communication with a well casing (18). The drop tube (22) includes an opening and is disposed longitudinally inside the well casing (20). The reaction end products and remaining contaminants are drawn into an opening in the well casing (20) and into the opening disposed in the drop tube (22), thereby extracting the reaction end products and remaining contaminants from the soil and groundwater in a preferably integrated dual-phase flow of vapor phase and liquid phase in one common stream.

FIG. 1 is a schematic diagram of a system for controlling a process, and FIG. 2 is a schematic diagram of a process control system.

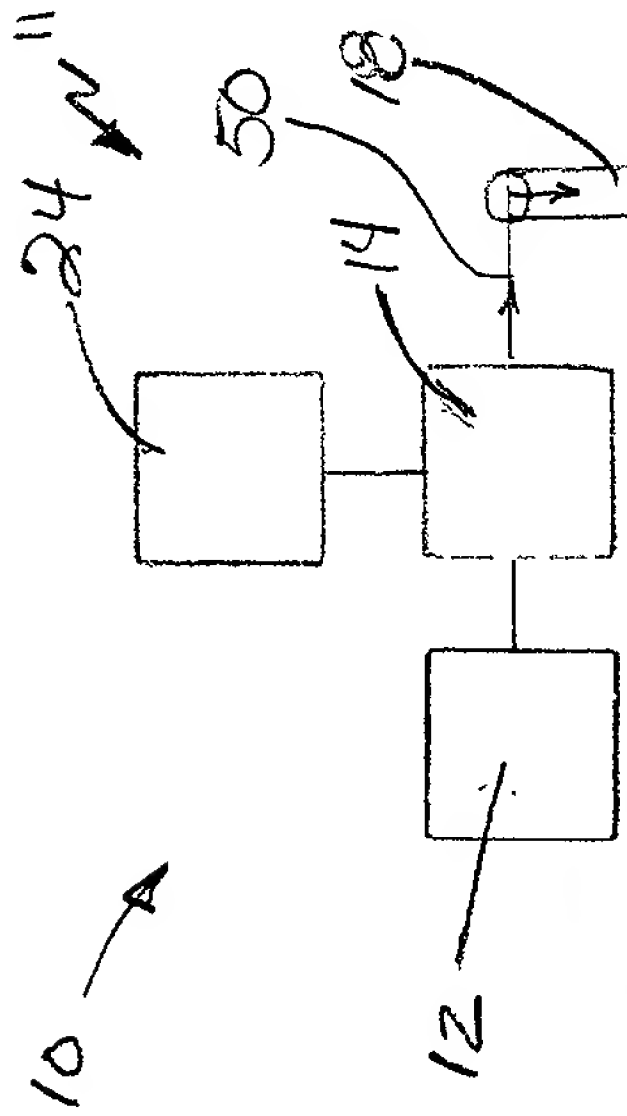


Fig. 1

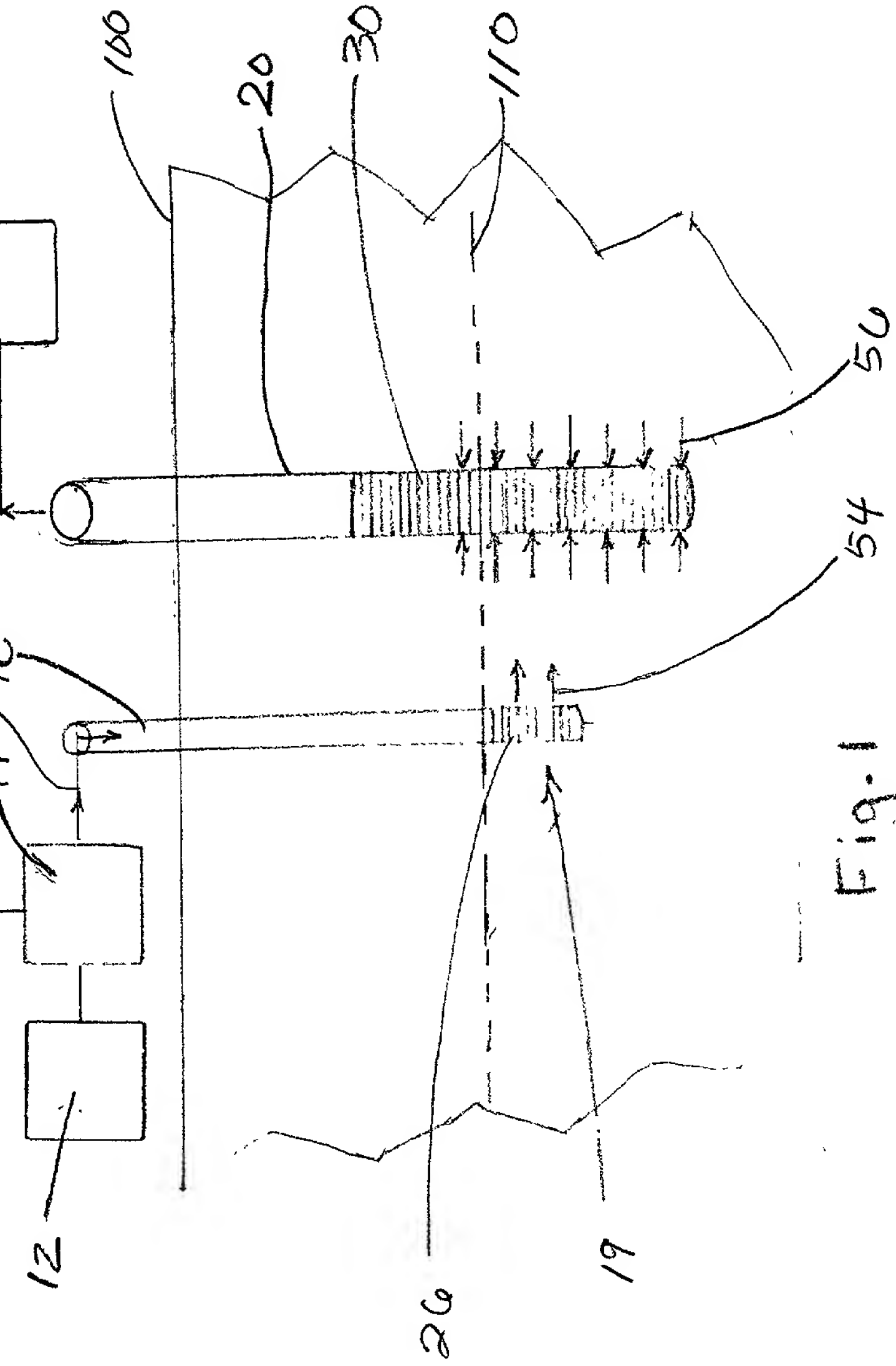
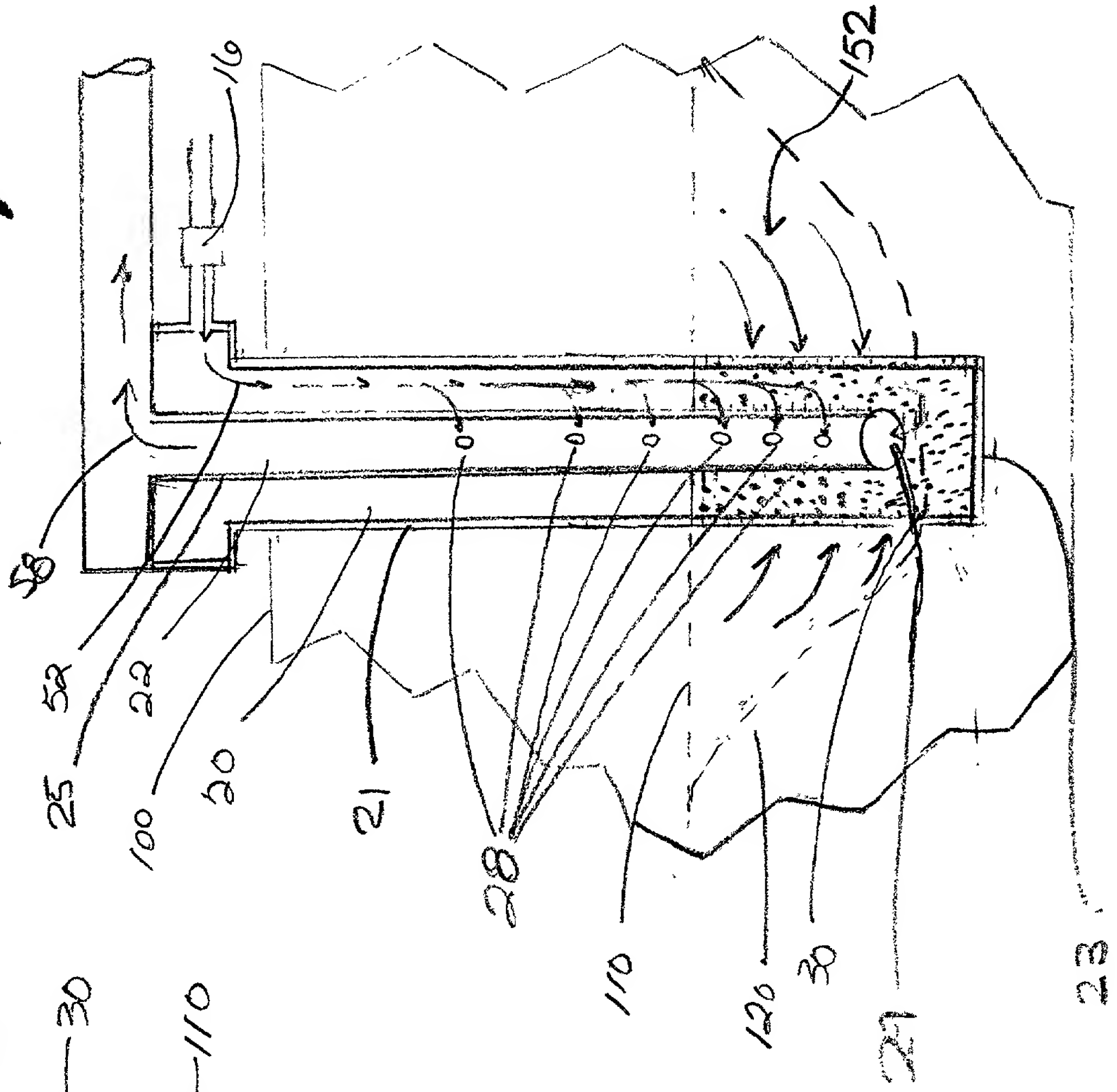


Fig. 2

Fig. 2





## DECLARATION FOR PATENT APPLICATION

Attorney Docket No: **50506-1010**

As the below named inventor, I hereby declare that:

Our residences, post office addresses and citizenships are as stated below next to our names.

We believe we are the original, first, and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled **Soil and Groundwater Decontamination System and Method for Decontamination of Soil and Groundwater by Reaction and Extraction**, the specification of which:

- ☒ is attached hereto.
- ☐ was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_.
- ☐ was filed on \_\_\_\_\_ under U.S. Express Mail No. \_\_\_\_\_.
- ☐ was described and claimed in PCT International Application No. \_\_\_\_\_; filed on \_\_\_\_\_ and as amended Under PCT Article 19 on \_\_\_\_\_ (if any).

I/we hereby state that I/we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I/we acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I/we hereby claim the benefit under Title 35, United States Code, §119 of any United States provisional patent application, foreign application(s) for patent or inventor's certificate listed below and have also identified below any United States provisional patent application, foreign application for patent or inventor's certificate having a filing date before that of the above-identified application on which priority is claimed: **NOT APPLICABLE.**

I/we hereby claim the benefit under Title 35, United States Code, §120 of any United States patent application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I/we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application: **NOT APPLICABLE.**

I/we hereby appoint the following attorneys/agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: **George M. Thomas, Reg. No. 22,260; James W. Kayden, Reg. No. 31,532; Scott A. Horstemeyer, Reg. No. 34,183; Stephen R. Risley, Reg. No. 35,659; Jeffrey R. Kuester, Reg. No. 34,367; Daniel J. Santos, Reg. No. 40,158; Daniel R. McClure, Reg. No. 38,962; Robert E. Stachler II, Reg. No. 36,934; David P. Kelley, Reg. No. 17,420; Michael J. Tempel, Reg. No. 41,344; Michael J. D'Aurelio, Reg. No. 40,977; David R. Risley, Reg. No. 39,345; Jon E. Holland, Reg. No. 41,077; Dan R. Gresham, Reg. No. 41,805; M. Paul Qualey, Reg. No. 43,024; Jennifer M. Gruber, Reg. No. 42,601.**

Please address all telephone calls, in the first instance, to **Scott A. Horstemeyer** at telephone number: **(770) 933-9500.**

Address all correspondence to:

**Scott A. Horstemeyer  
THOMAS, KAYDEN, HORSTEMEYER  
& RISLEY, L.L.P.  
100 Galleria Parkway, N.W., Suite 1500  
Atlanta, Georgia 30339-5948**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statement and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's Signature: NBAthen Date: 4/2/99

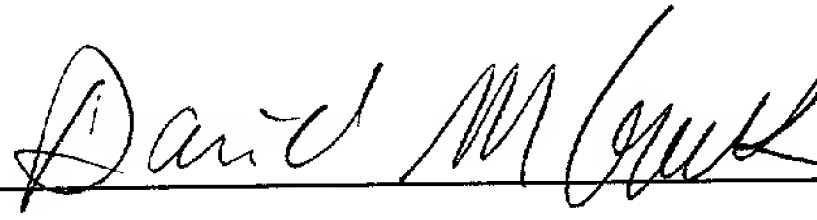
Full Name of First or Sole Inventor: Nick Athens

Residence: 1651 Hadaway Court, Kennesaw, Georgia 30152 Citizenship: U.S.

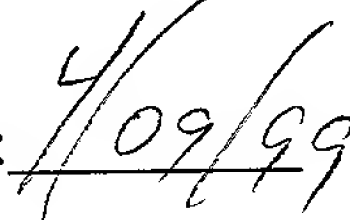
Post Office Address: Same as Residence

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statement and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's Signature: \_\_\_\_\_



Date: \_\_\_\_\_

Full Name of Second Inventor: **David M. Goodrich**Residence: **142 Douglas Fir Drive, Waleska, Georgia 30103**Citizenship: **U.S.**Post Office Address: **Same as Residence**